



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

February 26, 2018

OFFICE OF
ADMINISTRATION
AND RESOURCES
MANAGEMENT

Mr. Mark A. Bradley
Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, D.C. 20408

Dear Mr. Bradley:

In response to your letter dated November 28, 2017 the Environmental Protection Agency is pleased to submit the security classification activities cost estimate for FY 2017 and the requested brief narrative explanations.

If you have any questions or need additional information, please contact National Security Information Program Team Leader, Diane Lasher, at (202) 564-0059.

Sincerely,

A handwritten signature in black ink, appearing to read "Kelly Glazier", is positioned above the printed name.

Kelly Glazier

Director, Security Management Division

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: US Environmental Protection Agency	Fiscal Year: 2017
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Point of Contact: (Name and phone number) Diane K. Lasher 202-564-0059
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Reporting Categories

Please use actual dollar figures.

1. Personnel Security <i>(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)</i>	\$889,602.75
2. Physical Security <i>(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)</i>	\$114,954.97
3. Classification Management <i>(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)</i>	\$400,458.00
4. Declassification <i>(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)</i>	\$0.00
5. Protection and Maintenance for Classified Information Systems <i>(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)</i>	\$530,309.00
6. Operations Security and Technical Surveillance Countermeasures <i>(include personnel and operating expenses associated with OPSEC and TSCM)</i>	\$0.00
7. Professional Education, Training, and Awareness <i>(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)</i>	\$156,847.00
8. Security Management, Oversight, and Planning <i>(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))</i>	\$196,930.00
9. Unique Items <i>(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)</i>	\$0.00
TOTAL <i>(sum of items 1-9)</i>	\$2,289,101.72

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

Please see attached for explanations.

**EPA FY 2017 Security Cost Estimates:
Brief Narrative Explanation**

1. **Personnel Security:** Personnel security costs increase is attributed in part to the onboarding of staff under the new administration in FY 2017. There were also more new investigations and reinvestigations during FY 2017.
2. **Physical Security:** EPA had lower costs in FY 2017 compared with FY 2016; there was only one new secure area constructed and fewer secure room upgrades. Also, loss of one federal employee.
3. **Classification Management:** EPA maintained a contract to provide support services for management of our National Security Information (NSI) Program, agency wide. Cost decrease was due to vacant contract position.
4. **Declassification:** Resources were not spent on declassification activities in FY 2017.
5. **Protection and Maintenance for Classified Information Systems:** Costs reflect labor hours for COMSEC management; secure cellular and satellite telephone airtime; and operations and maintenance fees. Also, managing the Homeland Secure Data Network at Headquarters.
6. **Operations Security and Technical Surveillance Countermeasures:** Resources were not spent on OPSEC and TSCM in FY 2017.
7. **Professional Education, Training, and Awareness:** The NSI Program Team updated computer-based NSI and Sensitive Compartmented Information (SCI) annual training modules to meet federal training requirements. Also, reviewed and updated our initial training, termination and courier training. Costs dropped slightly because fewer updates were needed to training modules.
8. **Security Management Oversight, and Planning:** The NSI Program Team continued conducting onsite inspections at regional locations to evaluate compliance with NSI policies and procedures. Overall costs are down due to a vacant contract position.
9. **Unique Items:** There were no unique items in FY 2017.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

February 24, 2017

OFFICE OF
ADMINISTRATION
AND RESOURCES
MANAGEMENT

Mr. Mark A. Bradley
Director, Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, D.C. 20408-0001

Dear Mr. Bradley:

In response to your letter dated November 30, 2016 the Environmental Protection Agency is pleased to submit the security classification activities cost estimate for FY 2016 and the requested brief narrative explanations.

If you have any questions or need additional information, please contact National Security Information Program Team Leader, Diane Lasher, at (202) 564-0059.

Sincerely,

A handwritten signature in black ink, appearing to read "Kelly Glazier", is written over the typed name.

Kelly Glazier
Director, Security Management Division

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: Environmental Protection Agency

Fiscal Year: 2016

Point of Contact:

(Name and phone number) Diane K. Lasher, NSI Program Team Leader, 202-544-0059

Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$688,859.50

2. Physical Security

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$380,534.97

3. Classification Management

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$529,165.88

4. Declassification

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$6,564.00

5. Protection and Maintenance for Classified Information Systems

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$465,993.42

6. Operations Security and Technical Surveillance Countermeasures

(include personnel and operating expenses associated with OPSEC and TSCM)

\$6,564.00

7. Professional Education, Training, and Awareness

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$173,143.99

8. Security Management, Oversight, and Planning

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

\$298,768.99

9. Unique Items

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$254,000.00

TOTAL


(sum of items 1-9)

\$2,803,594.75

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

See attached explanation

**EPA FY 2016 Security Cost Estimates:
Brief Narrative Explanation**

1. **Personnel Security:** Personnel security costs increased due to the Office of Personnel Management's increased rates for reinvestigations of cleared personnel, and more reinvestigations were conducted during FY 2016.
2. **Physical Security:** EPA had lower costs in FY 2016 compared with FY 2015; there were no new secure areas constructed and fewer secure room upgrades.
3. **Classification Management:** EPA maintained a contract to provide support services for management of our National Security Information (NSI) Program, agency wide. Cost increase was primarily due to efforts related to the Classification Guide development and the 2017 Fundamental Classification Guidance Review.
4. **Declassification:** Costs reflect labor hours devoted to reviewing the agency's small number of originally classified documents.
5. **Protection and Maintenance for Classified Information Systems:** Costs reflect labor hours for COMSEC management; secure cellular and satellite telephone airtime; and operations and maintenance fees.
6. **Operations Security and Technical Surveillance Countermeasures:**
 (b) (7)(E)
7. **Professional Education, Training, and Awareness:** The NSI Program Team developed and/or updated computer-based NSI and Sensitive Compartmented Information (SCI) annual training modules to meet federal training requirements. Costs dropped slightly because fewer updates were needed to training modules.
8. **Security Management Oversight, and Planning:** The NSI Program Team continued conducting onsite inspections at regional locations to evaluate compliance with NSI policies and procedures. Overall costs are down due to a vacant contract position.
9. **Unique Items:** Costs reflect installation and connectivity charges for access to classified networks in the Secure Access Facility and Sensitive Compartmented Information Facility at the new Continuity/Emergency Operations Center.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

FEB 25 2016

OFFICE OF
ADMINISTRATION
AND RESOURCES
MANAGEMENT

Mr. William A. Cira, Acting Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, D.C. 20408-0001

Dear Mr. Cira:

In response to your letter dated December 7, 2015, the Environmental Protection Agency is pleased to submit the security classification activities cost estimate for FY 2015 and the requested brief narrative explanations.

If you have any questions or need additional information, please contact National Security Information Program Team Leader, Diane Lasher, at (202) 564-0059.

Sincerely,

A handwritten signature in black ink, which appears to read "Kelly Glazier", is written over a horizontal line.

Kelly Glazier
Acting Director, Security Management Division

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: Environmental Protection Agency	Fiscal Year: 2015
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Point of Contact: (Name and phone number) Diane K. Lasher, NSI Program Team Leader, 202-564-0059
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Reporting Categories

Please use actual dollar figures.

1. Personnel Security <i>(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)</i>	\$471,175.00
2. Physical Security <i>(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)</i>	\$684,852.91
3. Classification Management <i>(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)</i>	\$306,698.00
4. Declassification <i>(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)</i>	\$6,487.00
5. Protection and Maintenance for Classified Information Systems <i>(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)</i>	\$479,554.72
6. Operations Security and Technical Surveillance Countermeasures <i>(include personnel and operating expenses associated with OPSEC and TSCM)</i>	\$6,487.00
7. Professional Education, Training, and Awareness <i>(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)</i>	\$164,474.00
8. Security Management, Oversight, and Planning <i>(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))</i>	\$350,659.00
9. Unique Items <i>(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)</i>	\$254,000.00
TOTAL <i>(sum of items 1-9)</i>	\$2,724,387.63

<p>Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.</p> <p>See attached explanation.</p>

**EPA FY 2015 Security Cost Estimates:
Brief Narrative Explanation**

1. **Personnel Security:** Personnel security costs increased in FY 2015 due to the Office of Personnel Management's increased rates for reinvestigations of cleared personnel.
2. **Physical Security:** Although EPA had fewer accredited rooms to maintain, several program office and regional components required development of new secure areas or upgrades to their accredited rooms.
3. **Classification Management:** EPA maintained a contract to provide support services for management of our National Security Information (NSI) Program, agency wide. Cost dropped due to a reduction in labor rate of contract staff.
4. **Declassification:** Costs reflect labor hours devoted to reviewing the agency's small number of originally classified documents.
5. **Protection and Maintenance for Classified Information Systems:** Costs reflect labor hours for COMSEC management; secure cellular and satellite telephone airtime; and operations and maintenance fees.
6. **Operations Security and Technical Surveillance Countermeasures:** [REDACTED] (b) (7)(E)
7. **Professional Education, Training, and Awareness:** The NSI Program Team developed and/or updated computer-based NSI and Sensitive Compartmented Information (SCI) annual training modules to meet federal training requirements. Costs dropped slightly because fewer updates were needed to training modules.
8. **Security Management, Oversight, and Planning:** The NSI Program Team continued conducting onsite inspections at regional locations to evaluate compliance with NSI policies and procedures.
9. **Unique Items:** Costs reflect installation and connectivity charges for access to classified networks in the Secure Access Facility and Sensitive Compartmented Information Facility at the new Continuity/Emergency Operations Center in Mt. Weather, VA.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

FEB 23 2015

OFFICE OF
ADMINISTRATION
AND RESOURCES
MANAGEMENT

Mr. John P. Fitzpatrick, Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, D.C. 20408-0001

Dear Mr. Fitzpatrick:

In response to your letter dated December 1, 2014, the Environmental Protection Agency is pleased to submit the security classification activities cost estimate for FY 2014 and the requested brief narrative explanations.

If you have any questions or need additional information, please contact National Security Information Program Team Leader, Diane Lasher, at (202) 564-0059.

Sincerely,

A handwritten signature in black ink, which appears to read "Tami Franklin", is written over a horizontal line.

Tami Franklin
Director, Security Management Division

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: Environmental Protection Agency

Fiscal Year: 2014

Point of Contact:

(Name and phone number) Diane K. Lasher, (202) 564-1983

Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$370,812.00

2. Physical Security

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$464,321.00

3. Classification Management

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$523,704.00

4. Declassification

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$6,254.00

5. Protection and Maintenance for Classified Information Systems

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$475,863.00

6. Operations Security and Technical Surveillance Countermeasures

(include personnel and operating expenses associated with OPSEC and TSCM)

\$6,254.00

7. Professional Education, Training, and Awareness

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$178,007.00

8. Security Management, Oversight, and Planning

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

\$351,524.00

9. Unique Items

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$196,527.00

TOTAL

(sum of items 1-9)

\$2,573,266.00

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

Please see attached narrative.

**EPA FY 2014 Security Cost Estimates:
Brief Narrative Explanation**

1. **Personnel Security:** Personnel security costs decreased for several reasons. Early in the fiscal year, we reduced the number of agency clearances by over 10% in response to a Director of National Intelligence mandate, and also tightened the criteria for requesting a clearance. These actions reduced the number of initial investigations and reinvestigations. Also in FY 14, we had a backlog of out-of-scope clearances for which we did not initiate reinvestigations (we have since cleared the backlog).
2. **Physical Security:** Although EPA had fewer accredited rooms to maintain, several program office and regional components required upgrades to their accredited rooms.
3. **Classification Management:** EPA maintained a contract to provide support services for management of our National Security Information (NSI) Program, agencywide.
4. **Declassification:** Costs reflect labor hours devoted to reviewing the agency's small number of originally classified documents.
5. **Protection and Maintenance for Classified Information Systems:** Costs reflect labor hours for COMSEC management; secure cellular and satellite telephone airtime; and operations and maintenance fees.
6. **Operations Security and Technical Surveillance Countermeasures:** [REDACTED] (b) (7)(E)
7. **Professional Education, Training, and Awareness:** The NSI Team developed updated computer-based NSI and Sensitive Compartmented Information (SCI) annual training modules to meet federal training requirements.
8. **Security Management, Oversight, and Planning:** The NSI Team continued conducting onsite program office inspections at headquarters and reinstated onsite inspections at regional locations to evaluate compliance with NSI policies and procedures.
9. **Unique Items:** This category includes the build-out of a new Secure Access Facility (SAF) and Sensitive Compartmented Information Facility (SCIF) at an EPA COOP site. Costs include security devices, equipment, and secure communications for the SAF and SCIF.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

February 28, 2014

OFFICE OF
ADMINISTRATION
AND RESOURCES
MANAGEMENT

Mr. John P. Fitzpatrick, Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, D.C. 20408-0001

Dear Mr. Fitzpatrick:

In response to your letter dated November 18, 2013, the Environmental Protection Agency is pleased to submit the security classification activities cost estimate for FY 2013 and the requested brief narrative explanations.

If you have any questions or need additional information, please contact National Security Information Program Team Leader Diane Lasher at (202) 564-0059.

Sincerely,

A handwritten signature in black ink, appearing to read "Kelly Glazier", is written over a horizontal line.

Kelly Glazier
Acting Director, Security Management Division

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: US EPA

Fiscal Year: 2013

Point of Contact:

(Name and phone number) Diane K. Lasher 202-564-0059

Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$590,377.00

2. Physical Security

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$503,937.63

3. Classification Management

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$514,589.23

4. Declassification

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$5,857.00

5. Protection and Maintenance for Classified Information Systems

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$512,196.00

6. Operations Security and Technical Surveillance Countermeasures

(include personnel and operating expenses associated with OPSEC and TSCM)

\$17,571.00

7. Professional Education, Training, and Awareness

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$200,488.67

8. Security Management, Oversight, and Planning

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

\$394,186.00

9. Unique Items

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$90,675.00

TOTAL

(sum of items 1-9)

\$2,829,877.53

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

See attached narratives for explanations

**EPA FY 2013 Security Cost Estimates:
Brief Narrative Explanation**

1. **Personnel Security:** Personnel security costs rose in FY 13 primarily because of the increased number of federally mandated reinvestigations for EPA cleared personnel.
2. **Physical Security:** EPA's physical security costs continued to trend downward; the FY 13 decrease resulted from a reduction in the number of accredited rooms at the agency.
3. **Classification Management:** EPA maintained a contract to provide support services for management of our National Security Information (NSI) Program, agencywide.
4. **Declassification:** The decrease in cost reflects fewer labor hours devoted to reviewing the agency's small number of originally classified documents.
5. **Protection and Maintenance for Classified Information Systems:** Costs decreased because there were no new purchases of Homeland Secure Data Network (HSDN) terminals and because operations and maintenance fees were lower.

6. **Operations Security and Technical Surveillance Countermeasures:**

(b) (7)(E)

7. **Professional Education, Training, and Awareness:** The NSI Team developed new computer-based NSI and Sensitive Compartmented Information (SCI) annual training modules to meet federal training requirements. The NSI Team also initiated development of a Derivative Classifier training module.
8. **Security Management, Oversight, and Planning:** In FY 13, the NSI Team reinstated onsite program office inspections to evaluate compliance with NSI policies and procedures.
9. **Unique Items:** This category included partial payment for installation of a Joint Worldwide Intelligence Communications System terminal in Region 8 and site surveys for the installation of secure high frequency radio systems and secure satellite fiber-optic antenna systems.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

April 1, 2013

OFFICE OF
ADMINISTRATION
AND RESOURCES
MANAGEMENT

Mr. John P. Fitzpatrick, Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408-0001

Dear Mr. Fitzpatrick:

In response to your letter dated March 7, 2013, the Environmental Protection Agency is pleased to submit the security classification activities cost estimate for FY 2012 and the requested brief narrative explanations.

If you have any questions or need additional information, please contact NSI Program Team Leader Diane Lasher at (202) 564-0059.

Sincerely,

A handwritten signature in black ink, appearing to read "Kelly Glazier", is written over a horizontal line.

Kelly Glazier
Acting Director, Security Management Division

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: US EPA	Fiscal Year: 2012
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Point of Contact: (Name and phone number) Diane K. Lasher 202-564-0059
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Reporting Categories

Please use actual dollar figures.

1. Personnel Security <i>(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)</i>	\$539,369.75
2. Physical Security <i>(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)</i>	\$549,245.00
3. Classification Management <i>(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)</i>	\$533,475.00
4. Declassification <i>(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)</i>	\$19,537.00
5. Protection and Maintenance for Classified Information Systems <i>(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)</i>	\$750,929.00
6. Operations Security and Technical Surveillance Countermeasures <i>(include personnel and operating expenses associated with OPSEC and TSCM)</i>	\$40,964.00
7. Professional Education, Training, and Awareness <i>(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)</i>	\$220,320.00
8. Security Management, Oversight, and Planning <i>(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))</i>	\$375,330.00
9. Unique Items <i>(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)</i>	\$101,553.00
TOTAL <i>(sum of items 1-9)</i>	\$3,130,722.75

<p>Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.</p> <p>See attached narratives for explanations of significant differences.</p>
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**EPA FY 2012 Security Cost Estimates:
Brief Narrative Explanation**

1. **Personnel Security:** Costs increased over last year due to development and implementation of a more effective way to track new investigations and reinvestigations.
2. **Physical Security:** EPA's physical security costs decreased during FY 2012 as fewer new construction projects took place.
3. **Classification Management:** EPA maintained contracts for support services to assist with the management of our NSI Program, EPA-wide. The decrease in costs reflects reallocation of contractor resources.
4. **Declassification:** EPA conducted a review of our originally classified documents to ensure they still met the criteria to remain classified. One document was identified for declassification as part of this review.
5. **Protection and Maintenance for Classified Information Systems:** Costs included the purchase of COMSEC equipment and payment for contract staff (COMSEC managers) oversight of the Communications Security Program. The National Security Systems Program was discontinued but did not positively affect costs, as stand-alone systems were replaced with Homeland Secure Data Network (HSDN) terminals in several locations. Cost increases include purchase, operations and maintenance for the HSDN terminals; operations and maintenance for Joint Worldwide Intelligence Communication System (JWICS) terminals (also see item 9, below); access for an increased number of users; and airtime for secure cellular telephones and satellite phones.
6. **Operations Security and Technical Surveillance Countermeasures:** [REDACTED] (b) (7)(E)
7. **Professional Education, Training, and Awareness:** Security education, awareness and training continued to play a significant role for the NSI Program Team and NSI Representatives. The Team developed new computer-based training modules for NSI and SCI annual refresher training.
8. **Security Management, Oversight, and Planning:** In FY 2012, the Team did an assessment of accredited spaces across the agency and reduced the number of accredited rooms, agencywide.
9. **Unique Items:** Costs included surveys for secure HF radio installation at two EPA COOP sites and partial payment applied during FY 2012 for the purchase and installation of JWICS terminals.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

FEB 29 2012

OFFICE OF
ADMINISTRATION
AND RESOURCES
MANAGEMENT

Mr. John P. Fitzpatrick, Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, D.C. 20408-0001

Dear Mr. Fitzpatrick:

In response to your letter dated November 28, 2011, the Environmental Protection Agency is pleased to submit its security classification activities cost estimate for FY 2011 and the requested brief narrative explanations.

If you have any questions or need additional information, please contact NSI Program Team Leader Diane Lasher at (202) 564-0059.

Sincerely,

A handwritten signature in black ink, appearing to read "Tami Franklin", is written over a horizontal line.

Tami Franklin
Director, Security Management Division

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: US Environmental Protection Agency

Fiscal Year: 2011

Point of Contact:

(Name and phone number) Diane K. Lasher, 202-564-0059

Reporting Categories

Please use actual dollar figures.

1. Personnel Security (include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)	\$215,000.00
2. Physical Security (include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)	\$665,692.14
3. Classification Management (include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)	\$797,066.00
4. Declassification (include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)	\$19,537.00
5. Protection and Maintenance for Classified Information Systems (include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)	\$421,300.00
6. Operations Security and Technical Surveillance Countermeasures (include personnel and operating expenses associated with OPSEC and TSCM)	\$31,214.00
7. Professional Education, Training, and Awareness (include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)	\$218,574.00
8. Security Management, Oversight, and Planning (include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))	\$391,330.00
9. Unique Items (include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)	\$395,344.00
TOTAL (sum of items 1-9)	\$3,155,057.14

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

2. Costs increased with payment for alarm monitoring, repair of a security container, and build-out of space to meet the requirements of the National Communications System Directive 3-10.
9. To meet the requirements of the National Communications System Directive 3-10, purchased secure video, secure cellular telephones, and secure HF radio equipment, and installed HSDN.

**EPA FY 2011 Security Cost Estimates:
Brief Narrative Explanation**

1. **Personnel Security:** Costs remained steady for adjudicating and granting personnel security clearances.
2. **Physical Security:** Cost increases included payment for alarm monitoring, repair of a security container, and the build-out of space to meet the requirements of the National Communications System Directive 3-10.
3. **Classification Management:** EPA maintained a contract for support services to assist with the management of our NSI Program, EPA-wide.
4. **Declassification:** EPA conducted a review of our originally classified documents to ensure they still met the criteria to remain classified.
5. **Protection and Maintenance for Classified Information Systems:** Costs included the purchase of COMSEC equipment and payment for contract staff (COMSEC managers) oversight of the Communications Security Program and the National Security Systems Program.
6. **Operations Security and Technical Surveillance Countermeasures:** [REDACTED] (b) (7)(E)
7. **Professional Education, Training, and Awareness:** Security education, awareness, and training continued to play a significant role for the NSI Program Team and NSI Representatives. Program-specific training was provided exclusively to NSI Representatives, and contract staff provided initial, termination, and courier briefings to EPA employees. Costs decreased due to cuts in contract support.
8. **Security Management, Oversight, and Planning:** The NSI Program Team conducted inspections and provided day to day oversight and outreach. Costs decreased due to cuts in contract support.
9. **Unique Items:** The following equipment was purchased to meet the mandates of the National Communications System Directive 3-10: Secure video, secure cellular telephones, and secure HF radio equipment. The Department of Homeland Secure Data Network was installed at three EPA locations.

If you have questions or need additional information, please contact Diane Lasher at (202) 564-0059.

